IMpassion130: Efficacy in immune biomarker subgroups from the global, randomized, double-blind, placebocontrolled, Phase III study of atezolizumab + nab-paclitaxel in patients with treatment-naive, locally advanced or metastatic triple-negative breast cancer

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Disclosure

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- Dr Emens declares the following financial interests:
 - Consulting and advisory roles for AbbVie, Amgen, Bayer, Celgene, eTHeRNA, Gritstone Oncology, Macrogenics, MedImmune, MolecuVax, Novartis, Peregrine, Replimune, Syndax and Vaccinex
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 - Licensing royalties with Aduro Biotech
- Dr Emens is a member of FDA Advisory Committee on Cell Tissue and Gene Therapies, on the Board of Directors for the Society of Immunotherapy of Cancer, on the Data Safety and Monitoring Board for the TRIO025 trial, and a steering committee member for the IMpassion130 and KATE2 trials
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TNBC background

- TNBC has the worst breast cancer subtype—specific outcomes,¹ and single-agent taxane or anthracycline chemotherapy remains the typical 1L treatment for advanced disease^{2,3}
 - Estimates of median OS vary but are generally ≈ 18 months or less⁴⁻⁶
- No targeted agents have demonstrated definitive OS benefit
 - Bevacizumab in combination with chemotherapy is an approved treatment for mBC in several countries outside the United States7
 - PARP inhibitors for BRCA1/2-mutant, HER2-negative mBC have been approved in several countries⁸
- IMpassion130 is the first Phase III study to demonstrate a benefit with immunotherapy in mTNBC9
 - Atezolizumab + nab-paclitaxel (vs placebo + nab-paclitaxel) resulted in a statistically significant PFS benefit in the ITT and PD-L1+ populations (ITT HR, 0.80 [95% CI: 0.69, 0.92] and PD-L1+ HR, 0.62 [95% CI: 0.49, 0.78])
 - At this first interim OS analysis, clinically meaningful improvement in OS with atezolizumab + nab-paclitaxel was observed in the PD-L1+ population, with an HR of 0.62 [95% CI: 0.45, 0.86] and a median OS improvement from 15.5 to 25.0 months9

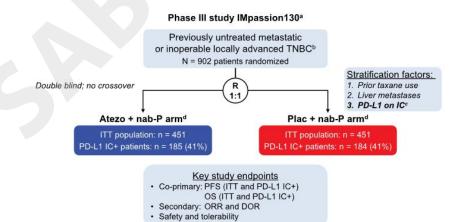
PD-L1+: PD-L1 on ≥ 1% of IC (as % of turnor area).

1. den Brok BCR7 2017. 2. NCCN 2018. 3. Cardoso Ann Oncol 2018. 4. Gobbini EJC 2018. 5. Yardley Ann Oncol 2018. 6. Miles Ann Oncol 2018.

7. AVASTIN SHOP 2017. 8. Lypnara USP] 2018. 9. Schmid N Engl J Med 2018.

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IMpassion130 study design: Prespecified analyses in the ITT and PD-L1 IC+ population



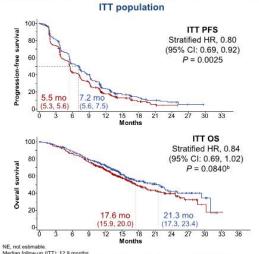
* NCT02425891. * Locally evaluated per ASCO-CAP guidelines. Prior chemotherapy in the curative setting, including taxanes, allowed if treatment-free interval ≥ 12 mo.

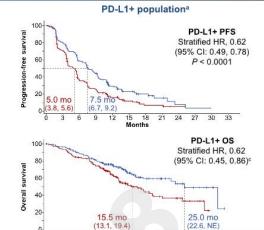
* Centrally evaluated per VENTANA SP142 IHC assay (double blinded for PD-L1 status, PD-L1+** PD-L1 on ≥ 1% of 16.** Alezoilgzumab or placebo 840 mg IV on days 1 and 15

* Ana-pa-paditaset J0 mg/m? V on days 1, 8 and 15 of 28-aday cycle until RECIST v.1-1 PD. 1. Schmid M Erg0 J Med 2018.**

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Clinically meaningful PFS and OS benefit in the PD-L1+ population





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27 30

33 36

NE, not estimable.

Median follow-up (ITT): 12.9 months.

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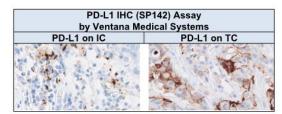
*PD-L1**: PD-L1**: n 2 1% of IC. *Not significant. *Not formally tested per hierarchical study design.

1. Schmid N Engl J Med 2018. 2. Schmid ESMO 2018 [LBA1_PR].

IMpassion130 biomarker analyses

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- Pre-existing immune biology, including PD-L1 expression on TC, CD8+ T cells and stromal TILs, has also been associated with clinical benefit from anti-PD-L1/PD-11,2
- In this exploratory analysis, we sought to evaluate whether this immune biology and BRCA1/2 mutation status were associated with clinical benefit from atezolizumab + nab-paclitaxel
- Biomarkers were centrally analyzed in pre-treatment biopsies
 - PD-L1 on IC and TC by VENTANA SP142 IHC assaya
 - Intratumoral CD8+ T cells by IHC (Dako clone C8/144B) and stromal TILs by H&E^b
 - BRCA1/2 mutation status by FoundationOne assay



H&E, hematoxylin and eosin staining: IHC, immunohistochemistry.

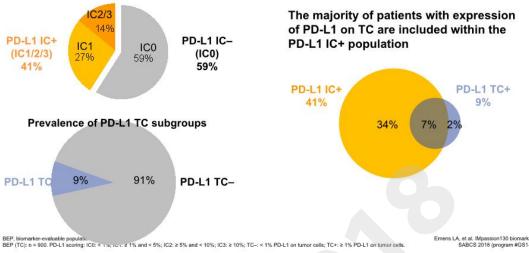
*PD-L1 scoring: IC0: = 1%; IC1: = 1% and < 5%; IC2: = 5% and < 10%; IC3: = 10%; TC-; < 1% PD-L1 on tumor cells; TC+: = 1% PD-L1 on tumor cells.

*PP-specified culoffs for CD8 IHC and stromat ITIs are based on references 1 and 2.

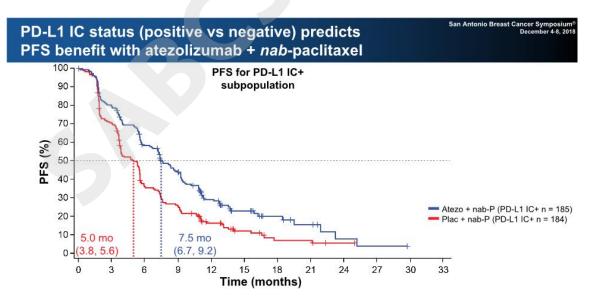
1. Adams JAMA Oncol 2018. 2. Denkert Lancet Oncol 2018.

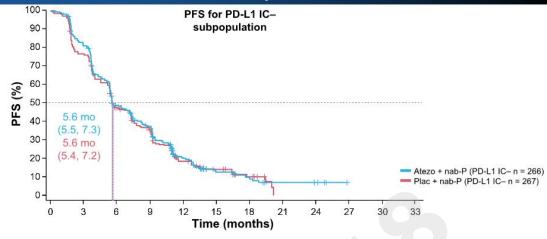
In IMpassion130, PD-L1 in TNBC is expressed mainly on tumor-infiltrating immune cells

Prevalence of PD-L1 IC subgroups

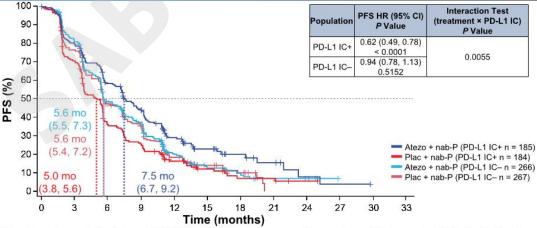


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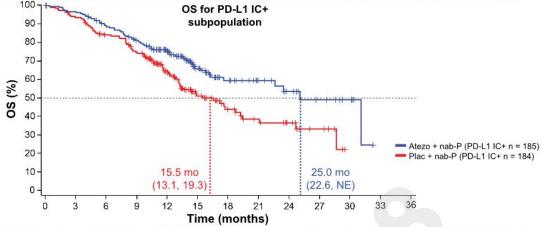


PD-L1 IC status (positive vs negative) predicts PFS benefit with atezolizumab + nab-paclitaxel



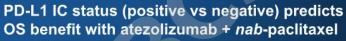
- A trend toward association between PD-L1 IC positivity and poor prognosis was observed but was not statistically significant
- PD-L1 IC positivity was predictive of PFS and OS benefit with atezolizumab + nab-paclitaxel

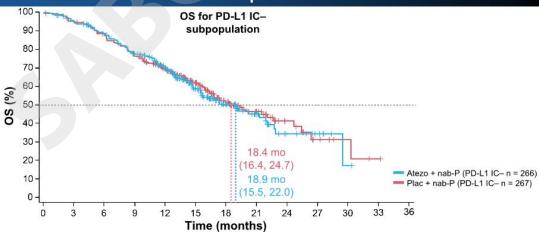
Median PFS durations (and 95% Cls) are indicated on the plot. Stratified HRs are shown. All P values except for PD-L1 IC+ PFS are nominal P values. Data cutoff: April 17, 2018.



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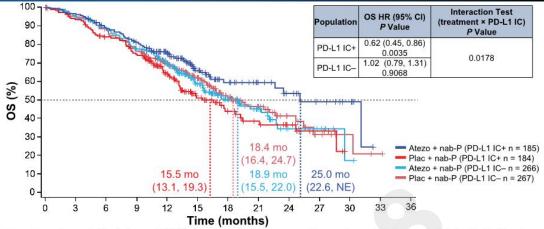
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PD-L1 IC status (positive vs negative) predicts OS benefit with atezolizumab + nab-paclitaxel



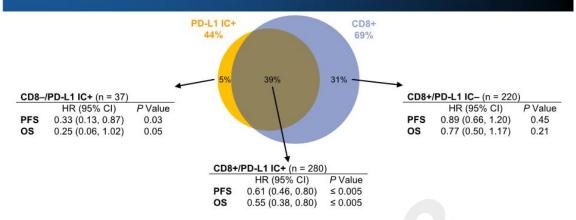
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Consistent clinical benefit with atezolizumab + nab-paclitaxel was observed across all PD-L1 IC+ subgroups

				PFS	os	
	PD-L1 IC Status	<u>n</u>	Median, mo A + nP P + nP	HR ^a (95% CI) P value	Median, mo A + nP P + nP	HR ^a (95% CI) P value
Neg	IC0	532	5.6 5.6	0.93 (0.77, 1.12) 0.47	18.9 18.4	1.02 (0.79, 1.31) 0.90
Pos	IC1	243	7.4 3.9	$0.59 \\ (0.44, 0.78) \le 0.005$	23.4 14.4	0.56 (0.38, 0.82) ≤ 0.005
A	IC2/3	125	9.3 5.7	0.64 (0.42, 0.97) 0.03	25.0 21.1	0.71 (0.39, 1.30) 0.26
	All	900	7.2 5.5	$0.79 \\ (0.68, 0.92) \le 0.005$	21.3 17.6	0.83 (0.68, 1.02) 0.07
			A + nP better	P + nP better	A + nP better +	P + nP better

CD8+ IHC has clinical benefit if co-occurring with PD-L1 IC+



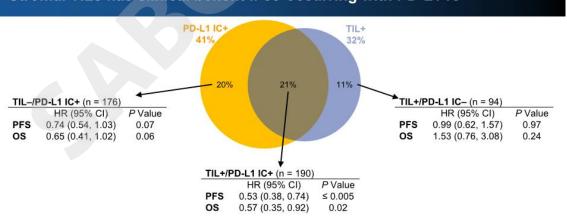
- PD-L1 IC+ are enriched in CD8+ (P < 0.0001) and CD8+ are enriched in PD-L1 IC+ (P < 0.0001)^a
- Patients with CD8+ tumors derived clinical benefit (PFS/OS) only if their tumors were also PD-L1 IC+

BEP (CD8): n = 720. A CD8+ cutoff of 0.5% was selected based on Phase Ib study in TNBC (Adams JAMA Oncol 2018), All P values are nominal.

**Data derived from contingency table with Fisher exact tests.

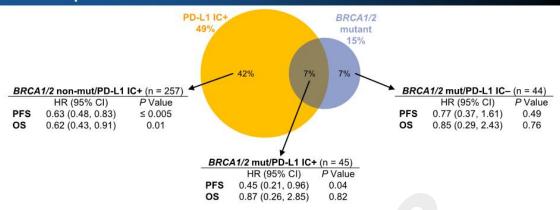
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Stromal TILs has clinical benefit if co-occurring with PD-L1 IC+



- TIL+ were enriched for PD-L1 IC+ (P < 0.0001) but PD-L1 IC+ were not enriched for TIL+ (P = ns)^a
- Patients with TIL+ tumors derived clinical benefit (PFS/OS) only if their tumors were also PD-L1 IC+

The clinical benefit derived by PD-L1 IC+ patients was independent of their *BRCA1/2* mutation status



- BRCA1/2 mutants and PD-L1 IC+ are independent from each other (P = ns)^a
- Patients with BRCA1/2-mutant tumors derived clinical benefit (PFS/OS) only if their tumors were also PD-L1 IC+b

BEP (BRCA1/2): n = 612. Per FoundationOne BRCA1/2 testing, BRCA1/2 mutant: known and likely mutations. All P values are nominal.

* Data derived from contingency table with Fisher exact tests. * Data interpretation limited by small number of BRCA1/2-mutant patients.

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Conclusions

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- In the Phase III IMpassion130 study, PD-L1 expression on IC is a predictive biomarker for selecting patients who clinically benefit from first-line atezolizumab + nab-paclitaxel treatment for mTNBC
 - PFS and OS benefit was observed in patients with a PD-L1 IC of ≥ 1% (by VENTANA SP142 IHC assay)
 - A treatment effect was not seen for adding atezolizumab to chemotherapy in the PD-L1-negative subgroup
- PD-L1 expression on TC did not provide additional information beyond PD-L1 IC status
 - Prevalence of tumor-cell PD-L1 expression was low, and the majority of these tumors were also PD-L1 IC+
- PD-L1 IC expression was the best predictor of clinical benefit as the patient subgroups with tumor-infiltrating immune cells (stromal TILs+) or cytotoxic T cells (CD8+) derived clinical benefit with atezolizumab + nab-paclitaxel if their tumors were also PD-L1 IC+
- PFS and OS results were consistent regardless of BRCA1/2 mutation status
- Patients with newly diagnosed metastatic and unresectable locally advanced TNBC should be routinely tested for PD-L1 IC status to determine whether they might benefit from atezolizumab + nab-paclitaxel

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